

Express Mail No.: EJ695376365US
Date of Deposit: October 26, 1999

PATENT APPLICATION
ATTORNEY DOCKET NO: 17999-001 (BURF-1)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: DeGroot
SERIAL NO: 09/351,036
DATE FILED: July 9, 1999 ART UNIT 1642
ASSIGNEE: Brown University Research Foundation
FOR: HIV VACCINE CANDIDATE PEPTIDES

Providence, Rhode Island

Assistant Commissioner for Patents
Washington, D.C. 20231

COMBINED DECLARATION AND POWER OF ATTORNEY

As the below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below, next to my name.

I believe that I am an original and sole inventor of the subject matter that is claimed, and for which a patent is sought on the invention entitled:

HIV VACCINE CANDIDATE PEPTIDES

The specification was filed on July 9, 1999, as Serial No. 09/351,036.

ACKNOWLEDGMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims.

I acknowledge the duty to disclose information which is material to patentability, as defined in 37 Code of Federal Regulations § 1.56.

APPLICANT: DeGroot
SERIAL NO: 09/351,036

CLAIM OF PRIORITY

- ☒ I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States applications listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national filing date of this application:

<u>Application No.</u>	<u>Filing Date</u>	<u>Status</u>
60/092,346	July 10, 1998	Abandoned
60/115,145	January 8, 1999	Pending
60/130,677	April 23, 1999	Pending

POWER OF ATTORNEY

I hereby appoint the following practitioners, all at MINTZ LEVIN, to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

<u>Attorney or Agent</u>	<u>Registration No.</u>	<u>Attorney or Agent</u>	<u>Registration No.</u>
David B. Bernstein	32,112	John T. Prince	43,091
David F. Crosby	36,400	Brian Rosenbloom	41,276
Brett N. Dorny	35,860	Thomas M. Sullivan	39,392
Ivor R. Elrifi	39,529	Howard Susser	33,556
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William Marino	44,219	Kristin E. Konzak	P-44,848
Leslie Meyer-Leon	37,381	Carol H. Peters	P-45,010
A. Jason Mirabito	28,161	Garfield B. Simms	P-45,109
Michel Morency	Limited Recognition	Shelby J. Walker	P-45,192


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APPLICANT: DeGroot
SERIAL NO: 09/351,036

DECLARATION

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code, § 1001, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.



Inventor's Signature
Full Name of Inventor: Anne De Groot
Citizenship: United States
Residence: 292 Morris Ave., Providence RI 02906
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Date 9/30/99

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STATEMENT CLAIMING SMALL ENTITY STATEMENT
UNDER 37 C.F.R. §§ 1.9(e) and 1.27(b) – NON-PROFIT ORGANIZATION

I hereby state that I am an official empowered to act on behalf of the nonprofit organization BROWN UNIVERSITY RESEARCH FOUNDATION, 42 Charlesfield Street, Providence, RI 02912, a nonprofit scientific or educational under statute of the state of Rhode Island.

I hereby state that the BROWN UNIVERSITY RESEARCH FOUNDATION qualifies as a nonprofit organization, as defined in 37 C.F.R. § 1.9(e), for purposes of paying reduced fees to the United States Patent and Trademark Office under 35 U.S.C. §§ 41(a) and (b), with regard to the invention described in the above-identified application.

I hereby state that rights under contract or law have been conveyed to, and remain with, BROWN UNIVERSITY RESEARCH FOUNDATION, with regard to the above identified invention.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate.

APPLICANT: DeGroot
SERIAL NO: 09/351,036

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of person signing:

William M Jackson

William Jackson
President
BROWN UNIVERSITY RESEARCH FOUNDATION
42 Charlesfield Street
Providence, RI 02912

Date: August 18, 1999

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